

New York	NY	10017	212 309-5498	lynn.formica@reedelsevier.com
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Princeton	NJ	08543-2316	609 452-8701	
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Princeton	NJ	08543-2316	609 452-8701	
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Princeton	NJ	08543-2316	609 452-8701	boneil@rwjf.org
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Madison	NJ	07940-1027	973 822-7000	gary.karlin@spcorp.com
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New York	NY	10022	212 258-4000	avi.grfn@siemens.com
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New York	NY	10016	212 592-1800	wcobb@cpg.org
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New York	NY	10016	212 592-1800	hfoxobrien@cpg.org
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Short Hills	NJ	7078	973 921-5500	warren@dnb.com
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New York	NY	10028-0198	212 650-2210	steven.bertsler@metmuseum.org
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New York	NY	10021-6399	212 327-7230	danzigl@rockefeller.edu
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New York	NY	10016	212 251-9741	rnagel@wallacefoundation.org
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Leonard

Leonard

Lovallo

Director-Corporate Funds

Time Warner Inc.

One Time Warner
Center

Andrew

Andrew

Golden

President-Princeton
University Investment
CompanyTrustees of Princeton
Universityc/o Princeton
University Investment
Company

Scott

Scott

DeYoung

Director-Treasury
Operations & Investments

Unilever U.S., Inc.

700 Sylvan Avenue



Catherine

Catherine

Waterworth

Chief Investment Officer

United Church of Christ
Pension Boards475 Riverside Drive,
Room 1020

Randy

Randy

Kim

Senior Financial Analyst-
Investments

Yale University

55 Whitney Avenue,
5th Floor

David

David

Landau

Vice President-Alternative
InvestmentsYMCA Retirement
Fund140 Broadway, 28th
Floor

Everett

Everett

Miller

Vice President-Alternative
InvestmentsYMCA Retirement
Fund140 Broadway, 28th
Floor

Victor

Victor

Raskin

Chief Investment Officer

YMCA Retirement
Fund140 Broadway, 28th
Floor

Lombardo

Lombardo

Manager-
Benefit

Investments 120 Park Avenue

New York

New York	NY	10019	212 484-8000	leonard.lovallo@timewarner.com
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Princeton	NJ	8542	609 258-4136	agolden@princeton.edu
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Englewood Cliffs	NJ	7632	201 894-2104	scott.deyoung@unilever.com
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New York	NY	10115-0122	212 729-2700	cwaterworth@pbucc.org
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New Haven	CT	6510	203 432-0120	randy.kim@yale.edu
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New York	NY	10005-1197	646 458-2400	
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New York	NY	10005-1197	646 458-2400	
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New York	NY	10005-1197	646 458-2400	raskin@ymca.net.org
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10017-5523	19,973,000	917 663-4000	ronald.lombard o@altria.com	Person responsible for direct. commission business
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Exhibit
B



jeffswope@hotmail.com

Printed: Wednesday, August 15, 2007 5:25 PM

From : Fiduciary Asset Management <FAMCO@CBIZ.com>
Sent : Friday, September 15, 2006 2:08 PM
To : "jeffswope@hotmail.com" <jeffswope@hotmail.com>
CC : "Patty L. Boyd" <pboyd@famco.com>
Subject : RE: Swope cobra

 Attachment : COBRANoticeSent.pdf (0.39 MB)

Good afternoon Jeff,

I received a message from Patty Boyd that you did not receive your COBRA benefits continuation packet. I have received a copy of the original packet that was sent. I apologize for the quality as I received it via fax.

Upon your separation Fiduciary Asset Management had an address of:

10 Liberty Street Unit 44E

New York, NY 10005

Your COBRA benefits continuation packet was mailed to this address.

Can you please confirm your address and where you would like an additional packet to be sent? If you chose to elect COBRA I strongly recommend completing the attached packet and sending to the CBIZ COBRA Administration Department at the following address:

Century Payroll Inc.

P.O. Box 20

Attn: COBRA Dept.

Roanoke, VA 24002-0020

If you have any questions about COBRA continuation please feel free to contact the CBIZ COBRA Administration department at 1-800-815-3023 x4.

Thanks,

Lara D. Houseman

CBIZ Human Capital Services

One CityPlace Drive Suite 570

St. Louis, MO 63141

Phone (314)692-2249

Direct (314)692-5869

EFax (800) 886-2425

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From: Jeff swope [mailto:jeffswope@hotmail.com]

Sent: Thursday, September 14, 2006 2:10 PM

To: Patty L. Boyd

Subject: RE: PSP rollover update

Patty: My health insurance has been cancelled. I have never been offered the opportunity for Cobra. I need for the carrier to send me that information. Thanks, Jeff Swope

Exhibit
C

David Valerie	Saltsman Whittaker	Hicks Muse The Concord Advisory Group, Ltd.	Portfolio Allocation Senior Portfolio Analyst Senior Vice President- Human Resources & Labor Relations	Dallas Princeton	TX NJ	9/7/2005 9/19/2005
Mike	Bonds	Continental Airlines, Inc. Memorial Hermann Health Care Systems	Vice President-Finance & Corporate Controller	Houston	TX	11/4/2005
Dennis	McVeigh	Metropolitan Life Insurance Company	Senior Vice President- Investment Management	Houston	TX	11/4/2005
Timothy Jim	Schmidt Semens Akagi	Smith Barney Consulting Group ConocoPhillips	Investment Manager Consultant	Morristown Washington Houston	NJ DC TX	11/6/2005 11/10/2005 11/11/2005
Douglas Mike Bud	Wynkoop Cesimeier Pellecchia	Houston Municipal Employees Pension System Smith Barney Consulting Group Callan Associates Inc.	Investment Manager Consultant	Houston Chicago Morristown	TX IL NJ	11/11/2005 11/14/2005 11/16/2005
William	Cobb	The Church Pension Fund & Affiliates (Episcopal)	Executive Vice President & Chief Investment Officer	New York	NY	11/17/2005
David	Landau	YMCA Retirement Fund	Vice President-Alternative Investments	New York	NY	11/17/2005
Joseph Abashiek	Kulhanek Metha	Avon Products, Inc. Rockefeller Brothers Fund	Director-Pensions & Trust Investments	New York New York	NY NY	11/18/2005 11/18/2005
Lisa	Danzig	The Rockefeller University	Analyst Vice President & Chief Investment Officer	New York	NY	11/18/2005
Timothy Maurice Kathy Tom	Kiernan Maertens Scudda Donovan	KeySpan Corporation New York University Morgan Stanley CSFB	Manager-Pension Investments Chief Investment Officer Wrap Analysts Private Introduction Group	New York Brooklyn New York NYC NYC	NY NY NY NY NY	11/29/2005 11/29/2005 12/1/2005 12/1/2005
Gary Bill Nancy	Karlin Bridge Casablanca	Schering-Plough Corporation Merrill Lynch Ingersoll-Rand	Senior Director-Trust Investments Consults Anayst Assistant Treasurer	Madison Jersey City Montvale	NJ NJ NJ	12/2/2005 12/2/2005 12/3/2005
William	Rauh	Johnson & Johnson The Church Pension Fund	Director-Pension Funds	New Brunswick	NJ	12/3/2005
Helen	Fox-O'Brien (Episcopal)		Senior Vice President	New York	NY	12/3/2005

Julie	Leopold	Hoffmann-La Roche, Inc.	Manager-Finance Director-Treasury	Nutley Englewood Cliffs	NJ	12/4/2005
Scott David	DeYoung Moroch	Unilever U.S., Inc. Novartis Corporation	Operations & Investments Treasury Manager Director-Investments & Treasurer	New York New York	NJ NY	12/4/2005 12/7/2005
Rob Clayton Chad David	Nagel Young Oman Capobianco	The Wallace Foundation ITT Industries, Inc. Jerry Bruckheimer Films Vulcan Capital Prudential Insurance Company of America	Assistant Treasurer Partner Managing Director	New York White Plains Los Angeles Los Angeles	NY NY CA CA	12/7/2005 12/7/2005 12/8/2005, 1/28/2006 12/8/2005, 1/28/2006
Randy	Hood		Managing Director Assistant to the Chief Investment Officer Owner CEO	Newark Princeton New York Houston	NJ NJ NY TX	12/12/2005 12/12/2005 12/12/2005 12/22/2005
Karen Graham Todd	Candelori Arader Casberry	Robert Wood Johnson Foundation Arader Galleries Directional Drilling Baton Rouge & Parish of East Baton Rouge Employees Retirement System Louisiana State Employees Retirement System (LASERS) Louisiana State Employees Retirement System (LASERS) Louisiana State Employees Retirement System (LASERS) Louisiana State University	Assistant Retirement Administrator Investments Manager Investment Officer Investment Officer Chief Financial Officer Director-Corporate Finance & Controller	Baton Rouge Baton Rouge Baton Rouge Baton Rouge Baton Rouge Baton Rouge Baton Rouge	LA LA LA LA LA LA LA	1/3/2006 1/4/2006 1/4/2006 1/4/2006 1/4/2006 1/5/2006 1/7/2006
Barbara	LeBlanc					
Celeste	Funderburk					
Stephen	Mann					
Jerry Patrick	Polk Landry					
Michael	Deo	American Bureau of Shipping		Houston	TX	1/7/2006
David	Dallis	Lyondell Chemical Company	Senior Consultant-Treasury Associate Vice President- Investments & Associate Treasurer	Houston	TX	1/7/2006
Claire	Nelson	Rice University		Houston	TX	1/12/2006
Robert	Jones	St. Luke's Episcopal Health System	Treasurer Director-Employee Benefit	Houston	TX	1/12/2006
Christopher	Krauss	BASF Corp.	Assets	Florham Park	NJ	1/13/2006

Kathy	King	Amon G. Carter Foundation Bass Enterprises Production Company	Assistant Secretary, Treasurer & Controller	Fort Worth	TX	2/14/2006
Bill	Cotham	CBI Holdings, Inc.	Vice President & Controller Vice President-Benefits	Fort Worth Plano	TX TX	2/14/2006 2/14/2006
Lisa	Cummings					
Steve	Healy	Electronic Data Systems Corp.	Senior Investment Specialist	Plano	TX	2/16/2006
Michael	Kelly	Christus Health	System Director-Treasury	Houston	TX	2/18/2006
Raj	Wagle	Houston Police Officers Pension System	Senior Financial Analyst	Houston	TX	2/18/2006
Linda	Aycock	Rowan Companies, Inc.	Assistant Treasurer	Houston	TX	2/18/2006

Name: Jeff Swope
 Week Ending: 21 April 2006

Date	Company	Method of Contact (i.e. phone, email, meeting)	Location	Contacts (include titles)	Client Type (institutional, high-net-worth, etc)	Products Discussed	Follow Up
17 April 2006	AG Edwards	Phone	Multiple (7)	Broker calls as follow-up to AG Edwards National Call	HNW	MLPs, MLP Fund	Setting up client Meetings
17 April 2006	Texas PERS	Meeting	Austin	Kendal Thomas	Institutional	MLPs, MLP Fund; HCE	Trying to convince them to present to investment team
17 April 2006	L.B. Johnson Foundation	Call	Austin	Harry Middleton, Executive Director	Institutional	MLPs; MLP Fund	Will review marketing materials
18 April 2006	Janney Montgomery Scott	Email	Atlanta, GA	Bruce Favrot	HNW	MLP Fund	Trying to arrange meeting with Janney prospects
18 April 2006	Wachovia Marketing Call	Phone	Richmond, VA	Multiple Parties	Wrap	LCCE; Balanced	Planning roll out of FAMCO products into Wachovia's DMA program
18 April 2006	Merrill Lynch	Phone	NYC	Manit Rye	HNW	Single Stock Overwriting	Manit will introduce me to Paul Morton week of April 24th
18 April 2006	Smith Barney	Phone	STL	David McKay	HNW/Wrap	LCCE; Balanced; MLPs	Scheduled meeting with SB wrap analysts in Early May 2006
18 April 2006	Morgan Stanley	Phone	Houston, TX	Jennifer Ritchie	HNW	MLP Fund	Scheduling with Michael Dee;
18 April 2006	Texas Medical Liability Trust	Meeting	Austin	Ray Demel, CFO	Institutional	MLPs; MLP Fund	Call 1 st week of May
19 April 2006	Stern Family Office	Meeting	STL	Noah Lerner, Director, Investments	HNW	MLPs; MLP Fund	Follow-up call 24 April 2006
19 April 2006	Morgan Stanley	Call	Houston, TX	Michael Dee, Head, Energy Investment Banking	HNW	MLPs	Discussed introductions in MS's family office practice. Set up another call for 20 April to go over marketing piece
20 April	AG Edwards Brokers	Calls	Multiple locations	Broker calls as follow-	HNW	MLPs; MLP Fund	Scheduling client

2006				up to AG Edwards National Call			calls and meetings
20 April 2006	Morgan Stanley	Call	Houston, TX	Michael Dee, Head, Energy Investment Banking	HNW	MLP Fund	Review marketing piece for MLP Fund
20 April 2006	Morgan Stanley	Call	Houston, TX	Jennifer Ritchie	HNW	MLP Fund	Schedule meetings with Family Office Practice in NYC
20 April 2006	FAMCO	Call	STL	Jim Cunnane	HNW	MLP Fund	Discuss MLP Fund Issues
20 April 2006	Texas Teachers	Call	Austin	Bob Boldt, Director	Institutional	MLP Fund	Schedule lunch for May 11th
20 April 2006	Bain Consulting	Call	Chicago	Bob Morette, Managing Partner	HNW	MLP Fund	Introductions to Investment Consulting Practice; Bob is going to schedule meeting when I am in Chicago for Callan Meeting
20 April 2006	Mesirow Capital	Call	Chicago	Locating person responsible for Retail distribution	HNW	MLP Fund	Discussing Distributing MLP Fund and MLPs through Mesirow's HNW group
20 April 2006	Callan Investment Management Consulting	Call	Chicago	Judy McKinney	Institutional	LCCE	Scheduling Consulting Meeting
21 April 2006	Federal Reserve Board Retirement Plan	Email	Newark, NJ	Paul Lipson, Director	Institutional	Balanced	Emailled with request to reconnect on Balanced product
21 April 2006	Smith Barney	Email	STL	David McKay	HNW	All	Discussed scheduling Wrap meeting in May; Products to be discussed
21 April 2006	Retired Former CEO of LL&E	Meeting	New Orleans	Layton Stewart	HNW	MLP Fund	Introduced by John Graham. Presented MLP Fund; Will call in 7 Days
21 April 2006	Mercer Investment Management Consulting	Call	Chicago	Alan Papier	Institutional	FCE	Discussed Large Cap Products; Becky sent performance and

Name: Jeff Swope
 Week Ending: 5 May 2006

Date	Company	Method of Contact (i.e. phone, email, meeting)	Location	Contacts (include titles)	Client Type (institutional, high-net-worth, etc)	Products Discussed	Follow Up
Monday, May 1, 2006	Morgan Stanley	Phone	New York	Brian Wood, VP	HNW	Single Stock Overwriting Opportunities	Sent presentation Discussed FAMCO process versus what MS desk offers client
	Morgan Stanley	Phone	New York	Rick Gould, Managing Director	Institutional & Retail	MLPs; MLP Fund; HCE, LCCE, Single Stock overwriting	Reviewed materials sent to him prior week. He will try to set up meeting for Wiley and me to meet Tom Leeds week of May 15 th Also, working with Capital Introductions to push our MLP Fund.
	Family Office Calls	Phone	Multiple	Calling for CIO, ALTs analyst; 10 calls	Family Offices	MLP Fund	Left messages, acquired appropriate contacts
	California State Automobile Association	Email	San Francisco	Ray Smaltz	Institutional	HCE, SRHE	Discussed additional clients in these strategies and he closed end funds. Sent updated presentations.
Tuesday, May 2, 2006	Callan Associates	Meeting	Chicago	Judy McKinney, SVP	Institutional	LCCE	Discuss benefits moving LCCE to the growth category. Judy McKinney will send proposal for

							services.
	JP Morgan Chase	Call	New York	Craig Heatter, SVP; Balanced Search Contact	Institutional	Balanced	Reviewed Balanced product They have interested client for a \$15 million mandate.
	Bain Consulting	Lunch Meeting	Chicago	Bob Morette, Managing Director, Head of Financial Service Practice	HNW	FOF	Discussed Bain using FOF in the proprietary Fund of Funds.
Wednesday, May 3, 2006	Merrill Lynch	Email	New York	Manit Rye	HNW	Single Stock Overwriting	Discussed intro to Derivatives Platform
	Credit Suisse	Phone	New York	Yusuf Randera-Rees, MD, Financial Institutional Group	Institutional	HCE, SRHE	Discussed products for his industry report
	Goldman Sachs	Phone	New York	Brendan Wallace & Christof Phiffer, Alternatives Group	Institutional	MLP Fund	Discussed MLP Fund as investment for GSAM Investors Fund.
	Wall Consulting	Phone	Destin, FL	Dick Wall	HNW	MLP Fund	Discuss a prospec
	Brookhouse Cooper	Phone	Montreal, Quebec	Caroline Aldred, VP	Institutional Consultant	LCCE	Discuss Performance difference in FCE and LCCE
	FAMCO	Phone		Sales Team	Wrap	LCCE/Growth; Balanced	Discussed materials and planned roll out
Thursday, May 4, 2006	Stevenson Partners Holding	Phone	New York	Barbara Blakey, CIO	Family Office	MLP Fund	Scheduled Meeting for Weel of May 15th
	Koch Family Office	Phone	Wichita, KS	Charles Koch	Family Office	MLP Fund	Introduced by Jol Paul Djioria
	Orr Exploration	Meeting	Lakeway, TX	Bill Orr, CEO	HNW	MLP Fund	Meeting to review product and move along the document process
	Douglass E&P	Meeting	Austin, TX	Russell Douglass, President	HNW	MLP Fund	Meeting to review product and move along the document process
Friday, May 5, 2006	Paul Mitchell Co.	Phone	Beverly Hills, CA	Jerry Allen	Family Office	MLP Fund	Jerry Allen provides review of energy related

Filed 06/23/2007 Page 16 of 50

							investments for John Paul DeJori; John Paul is also friend of the Koch's and does energy investing with them.
	Family Office List	Phone	Multiple Locations	Made more than 20 calls trying to connect to person responsible for Private Equity/Hedge Funds	Family Offices	MLP Fund/Separate Accounts	Left Messages an follow-up require
	FAMCO Wrap Team	Phone	STL	Wiley, Susan, Marcy, Scott, Jeff, Bob	Wrap	LCCE/Growth; balanced	Roll Out preparations
	PMNG	Phone	STL	John Duffy, CDO	Website Updates	All Products	Take old material off site and load new materials as they become available form Becky.

Name: Jeff Swope
 Week Ending: 12 May 2006

Date	Company	Method of Contact (i.e. phone, email, meeting)	Location	Contacts (include titles)	Client Type (institutional, high-net-worth, etc)	Products Discussed	Follow Up
Monday, May 8, 2006	Morgan Stanley	Phone	New York	Brian Wood, VP	HNW	Single Stock Overwriting Opportunities	Scheduling Meeting in NYC for 18 May
	Mercer	Phone	Chicago	Alan Papier, Analyst	Institutional	LCCE; HCE	Scheduling meeting for first week of June.
	Family Office Calls	Phone	Multiple	Out Going Calls to Family Office contacts	Family Offices	MLP Fund	Trying to get to the right people to discuss our alternative products
	JP Morgan Chase	Call	New York	Craig Heater, SVP, Balanced Search Contact	Institutional	Balanced	Called to see if they have everything they need. Will call again when I'm in NYC to visit.
	PNMG	Phone & Emails	STL	John Duffy	Vendor	All	Website issues are updates.
Tuesday, May 9, 2006	FAMCO	Phone	STL	Sales Team	Wrap	LCCE; Balanced	Discuss sales approaches, firm current strategic view, etc.
	Employees Retirement System of Texas	Meeting	Austin	Jim Sherwin, Director, Domestic Equities	Institutional	MLP Fund; Balanced	Paul Troup with Callan in Atlanta put me in touch with Jim to discuss our balanced product. Ended up also giving him info on MLP Fund and separate accounts.
Wednesday, May 10,	Texas Medical Liability Trust	Phone	Austin	Ray Demel, CFO	Institutional	MLPs; MLP Fund	Follow up to meeting in late

2006	Morgan Stanley	Call	Houston, TX	Michael Dee, Head, Energy Investment Banking	HNW	MLP Fund	April. Schedule meeting with group in Houston 23 May
	Texas Guaranteed Student Loan Association	Meeting	Round Rock, TX	Mark Zarsky, Controller	Institutional	All FAMCO Products	Introductory meeting. Discussed firm's history and products.
	Mark Fanish Group, Wachovia Securities	Meeting	Austin	Mark Fanish, CEO	Wrap	LCCE, Balanced	Trying to see how we will be perceived in Wachovia's system
	Wachovia Securities, ARBORETUM PLAZA Branch	Meeting	Austin	Walk Through (5 brokers)	Wrap	LCCE, Balanced	Mark Fanish asked that I see this office as they are big managed money office.
	Callan Associates	Phone call & Email	San Francisco	Brett Meyer, VP	Wrap	LCCE	Charles Schwab Wrap. Discussing our participation on their wrap platform.
Thursday, May 11, 2006	Bank of America	Email	New York	Sam Patel	HNW	LCCE, HCE, SRHE, MLPs, MLP Fund	Created and sent aggregated book for review by BofA's HNW team.
	Texas Teachers Retirement	Meeting/ Lunch	Austin	Keith Garrison, Director, Alternatives	Institutional	MLP Fund, SRHE, HCE	Had lunch to introduce him to FAMCO
	UTIMCO	Meeting	Austin	Kathy Iberg, Deputy CIO, Domestic Equity	Institutional	MLP Fund	Was scheduled to meet with Bob Boldt, The CEO UTIMCO, but he cancelled and the sent Kathy in his place
	Wachovia	Email	CT	Gerald Goldberg	Institutional	LCCE	Answer RFP Questions
Friday, May 12, 2006	Bank of America	Email	New York	Sam Patel	HNW	LCCE, HCE, SRHE, MLPs, MLP Fund	Follow up, comments on book sent, try to arrange meeting for 18 May

Family Office List	Email	Multiple Locations	6 Alternative Contacts	Family Offices	MLP Fund	Sent Marketing piece for MLP Fund
Wachovia	Phone	CT	Gerald Goldberg	Institutional	LCCE	Trying to get his input of the RFP
Bivium	Phone & Email	San Francisco	Nancy Hollis	Institutional	Small Mid Cap Core	Scheduling meeting to review They are a Manager of Managers.
UBS	Phone	New York	Mark Sutton, President, UBS Private Client Group	Wrap	Firm	I was introduced him at a Christmas party and told him I would call him once we were in their system. Will try to meet in NYC.
Mercer	Phone	Chicago	Alan Papier, Analyst	Institutional	LCCE, HCE	Scheduling meeting for first week of June. Discussing firm issues.

Name: Jeff Swope
 Week Ending: 19 May 2006

Date	Company	Method of Contact	Location	Contacts (include titles)	Client Type (institutional, high-net-worth, etc)	Products Discussed	Follow Up
Monday, May 15, 2006	Drive to San Antonio						
	AT&T Corporation DB Plan	Meeting	San Antonio, TX	Ms. Tracey Bedwell, Alternative Investments	Institutional	MLP Fund, HCE & SRHE	They have interest in SRHE and HCE. Will call to follow-up once they have fully reviewed the presentation materials.
	Bivium	Phone & Email	San Francisco	Nancy Hollis, VP	Institutional	Small Mid Cap Core	Reviewing SMID product
	Paul Mitchell Systems	Phone	Houston, TX	Jerry Allen, Partner	HNW	MLP Fund & MLP separate accounts	Follow-up call. John Paul DeJori is interested in MLPs as he is a major investor in natural gas and pipelines. Is reviewing the MLP materials.
Tuesday, May 16, 2006	Janney Montgomery Scott	Phone	Atlanta, GA	Bruce Faurot, SVP	HNW	MLP Fund	Family office is taking up formal review of MLP fund in June. Discussed performance issues.
	Bank of America	Email	NYC	Sam Patel	HNW	All Products	Scheduling meeting with B o A.
	PNMG	Phone	St. Louis	John Duffy	Vendor	Website Updates	Adding the SMA section to website
	Travel to NYC						
Wednesday, May 17,	Teamsters, Local #807. (New York City Trucking Industry)	Meeting (Lunch)	Long Island City, NY	Al Fernandez, Treasurer	Institutional	LCCE, Balanced	Referred by DA Hudson &

2006	Mercer	Phone	Chicago	Alan Papier	Institutional Consultant	LCCE	Associates
Thursday, May 18, 2006	Family Office Cold Calls	Phone	Multiple (4)	Alternative Contacts	Family Offices	MLP Fund	Scheduled meeting in Chicago for June 1 st .
	(AFTRA) Health & Retirement Funds	Meeting	New York	Shelby Scott, Chair Investment Committee	Institutional	MLP Fund; MLP Separate Accounts	Trying to locate appropriate contacts
	The Starr Foundation	Meeting	New York	Howard Smith, Treasurer	Institutional	LCCE & HCE	Discussed FAMCO and MLPs. Referred to Segal Advisors
	UBS	Meeting (Dinner)	New York	Mark Sutton, President, UBS Private Client Group	Wrap	HCE, MLPs	Introductory meeting. Discussed FAMCO product
Friday, May 19, 2006	Mac Andrews & Forbes Holdings	Meeting	New York	Steven Schiffman, Senior Vice President & Treasurer	Institutional	MLP Fund	Dinner with him NYC. Discussed FAMCO in their Ultra HNW area.
	FAMCO	Phone	Multiple	Bob, Scott, & Jeff Spray	Wrap	Discussed sales tactics	Referral by New England Pension Consultants.
	FAMCO	Phone	St. Louis	Wiley Angell	Wrap	Discussed field issues and new accounts	Pushing for more walk through(s) and general office visits by Jeff Spry versus elephant hunting.
	Markle Foundation	Phone	New York	Karen Byers, CFO	Institutional, Private Foundation	MLP Fund	Schedule meeting for MLP Fund

Name: Jeff Swope
 Week Ending: 22 May 2006

Date	Company	Method of Contact	Location	Contacts (include titles)	Client Type (institutional, high-net-worth, etc)	Products Discussed	Follow Up
Monday, May 22, 2006	Wachovia Securities	Meeting	Downtown New Orleans	Walk Through; met office manager	Wrap	LCCE; Balanced	Scott Sexton will revisit office in June
	UBS	Meeting	Downtown New Orleans	Walk Through	Wrap	LCCE	Scott Sexton will revisit office in June
	Bivium	Phone & Email	San Francisco	Nancy Hollis, VP	Institutional	Small Mid Cap Core	Reviewing SMID product. Trying to schedule meeting
	Wachovia Securities	Meeting	Metairie, LA	Walk Through	Wrap	LCCE; Balanced	Scott Sexton to visit office in June
Tuesday, May 23, 2006	Wachovia	Meeting	Slidell, LA	Walk Through	Wrap	LCCE; Balanced	Scott Sexton to visit in July
	UBS	Meeting	North shore, New Orleans	Walk Through	Wrap	LCCE	Small office, Scott can cover by phone.
	Travel to Austin						
Wednesday, May 24, 2006	UBS Private Client Services	Phone	NYC	Mark Sutton, President	Dual Contract Retail		Thanked him for FAMCO participation in Charity Polo Eve on Saturday. Trying to see him in NYC on Wednesday.
	Wachovia & UBS	Phone	San Antonio, TX	Office Coordinators	Wrap		Scheduling meetings for Thursday, 25 May
	Callan Associates	Phone	Atlanta, GA	Paul Troup	Institutional	MLP Fund	Follow up call regarding the Employees Retirement System of Texas call. He will investigate and respond back
	Callan Associates	Phone call	San Francisco	Brett Meyer, VP	Wrap	LCCE	Charles Schwab

								Wrap. Discussing our participation on their wrap platform. Talked about fees and account sizes.
	MLP Fund follow-up calls	Phone	Multiple			HNW	MLP Fund	Returned phone calls and tried to get responses on previous calls and emails.
Thursday, May 25, 2006	Merrill Lynch Private Client Group	Phone	Houston	Bill Williams, SVP	HNW		LCCE; HCE	Could be in final presentation for LCCE & or HCE on Thursday, Jun 1 st .
	Wachovia	Meeting	Austin	Mark Fanish	Wrap		MLP Presentation	Lunch with Mark team regarding MLP Fund and separate accounts They requested after I met with them a couple of weeks ago.
	UBS	Meeting	San Antonio	Walk Through	Wrap		LCCE	Met 4 producers and manager. Sec will visit office later in Summer.
	Wachovia	Meeting	San Antonio (Downtown)	Walk Through	Wrap		LCCE; Balanced	Met 5 producers and office coordinator. Brie walk through.
Friday, May 26, 2006	Wachovia	Phone	Richmond	Kent Christian	Wrap & Dual Contract		All	Friend of Jeff Spray. Runs Correspondent Services.
	Family Office List	Email	Multiple Locations	3 Alternative Contacts	Family Offices		MLP Fund	Sent Marketing piece for MLP Fund
	Texas Teachers Retirement	Phone	Austin	Keith Garrison, Director, Alternatives	Institutional		MLP Fund, SRHE, HCE	Called to answer question about MLP Fund. He will call me next week.
	Morgan Stanley	Call	Houston, TX	Michael Dee, Head, Energy Investment	HNW		MLPs	Questions regarding the

[illegible]

Name: Jeff Swope
 Week Ending: 2 June 2006

Date	Company	Method of Contact	Location	Contacts (include titles)	Client Type (institutional, high-net-worth, etc)	Products Discussed	Follow Up
Monday, May 22, 2006	Holiday						
Tuesday, May 23, 2006	Travel to New Orleans						
	TCU Endowment	Phone	Ft. Worth, TX	Jim Hille, CIO	Institutional	LCCE	Schedule meeting in St. Louis
	UBS	Meeting	New Orleans, LA	Rob Bickham, SVP	Institutional; Family Office	LCCE; MLPs	Introduced me to Harris Family Endowment. Presented LCCE MLPs. Rob is one of the 10 largest producers in UBS nationwide. \$30 million account.
Wednesday, May 24, 2006	Travel to NYC						
	14 phone calls.	Phone	Multiple	CIO's	Institutional	LCCE; HCE	Setting up meetings for week of June & July.
	Bank of America	Phone	NYC	Sam Patel	HNW	All	Schedule meeting with Capital Introductions group.
Thursday, May 25, 2006	Merrill Lynch Private Client Group	Meeting	NYC	Bill Williams, SVP	HNW - family office with ML as consultant.	LCCE; HCE	Final Presentation to family office for \$18 Million account. Will hear back in 3 to 4 weeks. May need to make additional presentation on Hedged Products
	Bank of America	Meeting	NYC	Jenny Jung, VP Capital Introductions	HNW	FOF; MLP Fund	Discussed how BofA could place

							FAMCO product with their alternative clients
Friday, May 26, 2006	D.A. Horton	Meeting	Princeton, NJ	Terry Horton, CEO	HNW	MLP Fund	Large MLP Investor. Friend of Rich Kinder. Will review FAMCO fund. Introduced by Brady Oman.
	Morgan Stanley	Meeting	Princeton, NJ	John Shapiro, MD	HNW	MLP Fund	John runs all commodity businesses at MS interested in the MLP Space and possible hedges. Will look at fund for his personal investment and possible introductions.
	TCU Endowment	Phone	Ft. Worth, TX	Jim Hille, CIO	Institutional	LCCE	Schedule meeting in St. Louis June 13 th .

Name: Jeff Swope
 Week Ending: 8 June 2006

Date	Company	Method of Contact	Location	Contacts (include titles)	Client Type (institutional, high-net-worth, etc)	Products Discussed	Follow Up
Monday, June 5, 2006	Bank of America	Phone & Email	NYC	Jenny Yung, VP Capital Introductions	HNW	FOF	Sent additional info on FOF.
	Callan Associates	Phone	San Francisco	Brett Meyer, Associate	Wrap	LCCE	Opportunistic Mandate for Schwab Wrap Platform. Called to discuss where the are in the process of adding us to the platform.
	Bivium Capital Partners, LLC	Phone	San Francisco	Nancy Hollis, MD	Institutional	Small Mid Core	Called to follow-up on previous conversations. Trying to reschedule visit in St. Louis
	Cambridge Associates	Phone	Boston	Sandra Marcon, Associate	Institutional	LCCE	Discuss numbers for LCCE search at TCU. Becky to provide quarterly data same day.
	Janney Montgomery Scott	Phone	Atlanta	Bruce Faurot, SVP	HNW	MLPs	Scheduling Jim and Quinn in Birmingham and Atlanta
Tuesday, June 6, 2006	TCU Endowment	Phone	Ft. Worth, TX	Jim Hille, CIO	Institutional	LCCE	Discuss portfolio and meeting expectations.
	Morgan Stanley	Email	NYC	Brian Wood, VP	HNW/Institutional	Single Stock Overwriting	Follow-up questions about FAMCO process
	Hammond Associates	Phone	St. Louis	Jerry Woodham	Institutional	All	Left message to follow-up on CDW's call. Discussion with Bob Hennessey about his meeting

